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# Cooperative Beamformer Design for Improving Physical Layer Security in Multi-Hop Decode-and-Forward Relay Networks

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#### **Abstract**

In this paper, we consider secure communications in multi-hop relaying systems, where multiple decode-and-forward (DF) relays are located at each individual hop and perform cooperative beamforming to improve physical layer security. In order to determine the cooperative relay beamformer at each hop, we propose an iterative beamformer update scheme using semidefinite relaxation and bisection techniques. Numerical results are presented to verify the secrecy rate performance of the proposed scheme.

**Keywords:** Physical layer security, relay networks, cooperative beamforming, secrecy rate

#### 1. Introduction

Recently, physical layer security schemes have been widely studied to overcome the broadcast nature of wireless channels and enable secure communications by exploiting the physical characteristic of wireless channels itself without using upper-layer operations such as encryption techniques [1]. In an information theoretic point of view, the rate at which the source can send secure information to the intended destination without being eavesdropped by unintended receivers is defined as achievable secrecy rate. Further, the maximum achievable secrecy rate is referred to as secrecy capacity.

It is shown that positive secrecy rates can be achieved without using upper-layer operations as long as the source-eavesdropper channel is a degraded version of the source-destination channel [2]. Further, node cooperation approaches have been suggested to achieve positive secrecy rates even when the source-destination channel condition is worse than the source-eavesdropper channel condition. In [3]-[10], node cooperation approaches have been considered, where multiple relay nodes located between the source, destination, and eavesdroppers perform cooperative beamforming for improving secrecy rates, assuming that each node is equipped with a single antenna. In particular, [3] has suggested three different operation modes for cooperative relay nodes such as amplify-and-forward (AF), decode-and-forward (DF), and cooperative jamming (CJ). For AF and DF modes, the relay nodes receive the signal from the source in the first time slot. In the second time slot, the AF and DF relay nodes forward the weighted versions of its received signals and its re-encoded signals, respectively. On the other hand, the CJ relay nodes transmit the weighted jamming signals to interfere the eavesdroppers.

Since most of the previous works for node cooperation consider two-hop relaying systems, we investigate wireless multi-hop relaying systems, where more than two hops are required. Further, we consider that each node receives the signals only from the nodes located at the adjacent hop due to propagation losses. At each hop, multiple DF relays perform cooperative beamforming to forward information signals to the next nodes as well as minimize the leakage to the eavesdropper. One of the conventional relay beamformers in node cooperation approaches is a zero-forcing (ZF) beamformer to null out the signal at the eavesdropper. In [3] and [5], a generalized eigenvector problem for the relay beamformer design has been formulated and solved to improve the secrecy rate. However, this approach is tailored only for use in two-hop relaying systems. In multi-hop DF relaying systems, cooperative beamformer design at each hop should consider not only the rates at the destination and the eavesdropper to maximize the secrecy rate, but also the rates at the relays located at the next hops to satisfy the DF relaying constraints.

In this paper, we derive a relay beamformer design problem in a multi-hop DF relay network, which includes mode than two hops, and propose an iterative beamformer design scheme. In the proposed scheme, the relay beamformers are initialized by the conventional ZF and max-min fair (MMF) beamforming approaches [11]. Then, the relay beamformer at each hop is sequentially updated by using semidefinite relaxation and bisection techniques [12], [13]. Numerical results are presented to show that the proposed scheme significantly improves the secrecy rates compared to the conventional beamformers.

## 2. System Model

Let us consider a wireless (M+1)-hop DF relay network, which consists of one source node S,  $N_m$  DF relays at the  $R_m$  relay position with m = 1, 2, ..., M, one destination node D, and one eavesdropper E. It is assumed that  $R_m$ 's and D receive the signal from only their adjacent nodes due to the propagation loss, whereas E overhears S as well as all the relays. All channels between the nodes undergo flat fading and the noise at each node is complex additive white Gaussian with zero-mean and variance  $\sigma^2$ .

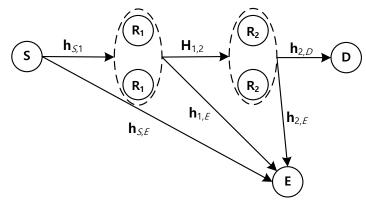


Fig. 1. Illustration of a multi-hop relay network for M=2 and  $N_1=N_2=2$ 

In the 0th time slot, S sends a data symbol x with unit power and the received signals at  $R_1$ 's and E can be expressed as

$$\mathbf{y}_{1}(0) = \sqrt{P_{S}} \mathbf{h}_{S,1} x + \mathbf{z}_{1}(0),$$

$$y_{E}(0) = \sqrt{P_{S}} h_{S,E} x + z_{E}(0),$$
(1)

where  $h_{S,1}$  denote the complex  $N_1 \times 1$  channel vector between S and  $N_1$  relays located at the  $R_1$  relay position, the complex S-E channel gain is denoted as  $h_{S,E}$ ,  $P_S$  is the transmit power of S, and  $z_n(0)$  and  $z_E(0)$  denote additive white Gaussian noise at  $R_n$ 's and E, respectively. Let all  $R_m$ 's decode x successfully in the (m-1)th time slot. Then, the ith  $R_m$  transmits a weighted version of the re-encoded symbol,  $w_{m,i}x$ , to the next nodes in the mth time slot. Here, we define  $w_{m,i}$  as the weight of the ith  $R_m$  with i=1, 2, ...,  $N_m$  and let the weights of all  $R_m$ 's be stacked in a  $N_m \times 1$  vector  $\mathbf{w}_m$  with  $\mathbf{w}_m^{\dagger}\mathbf{w}_m = P_m$ , where  $P_m$  is the total transmit power consumed by  $R_m$ 's. This implies that multiple single-antenna relays located at the  $R_m$  relay position form a virtual antenna array and cooperatively perform transmit beamforming with the relay weight vector  $\mathbf{w}_m$  [14]. In this viewpoint, we refer to  $\mathbf{w}_m$  as a cooperative beamformer of  $R_m$ 's [7], [8], [10]. The received signals in the mth time slot can be given as

$$\mathbf{y}_{m+1}(m) = \mathbf{H}_{m,m+1} \mathbf{w}_m x + \mathbf{z}_{m+1}(m),$$
  
$$\mathbf{y}_F(m) = \mathbf{h}_{m,F} \mathbf{w}_m x + \mathbf{z}_F(m),$$
 (2)

where the *i*th row of  $\mathbf{H}_{m,m+1}$  is  $\mathbf{h}_{m,m+1}^{(i)}$ , which denotes the  $1 \times N_m$  channel vector between  $N_m$  relays located at the  $R_m$  relay position and the *i*th  $R_{m+1}$ ,  $\mathbf{h}_{m,E}$  denotes the  $1 \times N_m$  channel vector for  $R_m$ 's-E, and (.)<sup>†</sup> is the conjugated transpose. Finally, when all  $R_M$ 's decode x successfully in the (M-1)th time slot and forward the re-encoded symbol in the Mth time slot, we express the received signals at D and E in the Eth time slot shown as

$$y_D(M) = \mathbf{h}_{M,D} \mathbf{w}_M x + z_D(M),$$
  

$$y_E(M) = \mathbf{h}_{M,E} \mathbf{w}_M x + z_E(M),$$
(3)

where  $\mathbf{h}_{M,D}$  and  $\mathbf{h}_{M,E}$  denote the  $1 \times N_M$  channel vectors for  $R_M$ 's-D and  $R_M$ 's-E channels, respectively, and  $z_D(M)$  is additive white Gaussian noise at D. Fig. 1 illustrates a multi-hop relay network model described above for M = 2 and  $N_1 = N_2 = 2$ .

Let us assume that E performs maximal ratio combining to decode x [3]. From (1)-(3), we obtain the rates at D and E shown as

$$\gamma_D = \frac{1}{M+1} \log_2 \left( 1 + P_M \widehat{\mathbf{w}}_M^{\dagger} \mathbf{R}_{M,D} \widehat{\mathbf{w}}_M \right),$$

$$\gamma_E = \frac{1}{M+1} \log_2 \left( 1 + \alpha_{S,E} + \sum_{m=1}^M P_m \widehat{\mathbf{w}}_m^{\dagger} \mathbf{R}_{m,E} \widehat{\mathbf{w}}_m \right),$$
(4)

where  $\hat{\mathbf{w}}_m = \mathbf{w}_m/\sqrt{P_m}$ ,  $\alpha_{S,E} = P_S |h_{S,E}|^2/\sigma^2$ ,  $\mathbf{R}_{M,D} = \mathbf{h}_{M,D}^{\dagger} \mathbf{h}_{M,D}/\sigma^2$ , and  $\mathbf{R}_{m,E} = \mathbf{h}_{m,E}^{\dagger} \mathbf{h}_{m,E}/\sigma^2$ . The factor of  $\frac{1}{M+1}$  is due to the fact that the information is transmitted over (M+1) time slots. The rate at the ith  $R_m$  is given as

$$\gamma_1^{(i)} = \frac{1}{M+1} \log_2 \left( 1 + \alpha_{S,1}^{(i)} \right), 
\gamma_m^{(i)} = \frac{1}{M+1} \log_2 \left( 1 + P_{m-1} \widehat{\mathbf{w}}_{m-1}^{\dagger} \mathbf{R}_{m-1,m}^{(i)} \widehat{\mathbf{w}}_{m-1} \right),$$
(5)

where  $\alpha_{S,1}^{(i)} = P_S |h_{S,1}^{(i)}|^2 / \sigma^2$ ,  $\mathbf{R}_{m-1,m}^{(i)} = (\mathbf{h}_{m-1,m}^{(i)})^{\dagger} \mathbf{h}_{m-1,m}^{(i)} / \sigma^2$  with  $i = 1, 2, ..., N_m$ , and  $h_{S,1}^{(i)}$  denotes the *i*th entry of  $\mathbf{h}_{S,1}$ . Since the rates at the relays should be equal to or greater than the rate at *D* for the DF relaying [3], we can compute the achievable secrecy rate shown as

$$\gamma = \max \{\min \{\gamma_D, \gamma_1, ..., \gamma_M\} - \gamma_F, 0\},$$
 (6)

where  $\gamma_m = \min_{i=1,\dots,N_m} \ \gamma_m^{(i)}$  .

## 3. Cooperative Beamformer Design

Let us assume global channel state information (CSI), which is available when the eavesdropper is another legitimate user in the network and its transmission can be monitored [15]. In this scenario, the eavesdropper is assumed to be a low-level user to access less information than the destination. In order to maximize the achievable secrecy rate, we have to solve the following optimization problem for the cooperative beamformer design:

$$\max_{\widehat{\mathbf{w}}_{1},\widehat{\mathbf{w}}_{2},\dots,\widehat{\mathbf{w}}_{M}} \frac{1 + P_{M}\widehat{\mathbf{w}}_{M}^{\dagger}\mathbf{R}_{M,D}\widehat{\mathbf{w}}_{M}}{1 + \alpha_{S,E} + \sum_{m=1}^{M} P_{m}\widehat{\mathbf{w}}_{m}^{\dagger}\mathbf{R}_{m,E}\widehat{\mathbf{w}}_{m}}$$
s.t.
$$\min_{i=1,\dots,N_{1}} \alpha_{S,1}^{(i)} \geq P_{M}\widehat{\mathbf{w}}_{M}^{\dagger}\mathbf{R}_{M,D}\widehat{\mathbf{w}}_{M}$$

$$\min_{i=1,\dots,N_{m}} P_{m-1}\widehat{\mathbf{w}}_{m-1}^{\dagger}\mathbf{R}_{m-1,m}^{(i)}\widehat{\mathbf{w}}_{m-1} \geq P_{M}\widehat{\mathbf{w}}_{M}^{\dagger}\mathbf{R}_{M,D}\widehat{\mathbf{w}}_{M}, \quad m = 2,3,\dots,M$$

$$\widehat{\mathbf{w}}_{m}^{\dagger}\widehat{\mathbf{w}}_{m} = 1, \quad m = 1,2,\dots,M.$$
(7)

The inequality constraints in (7) are the DF relaying constraints, which guarantee that all the relays correctly decode the information signal and forward it to the next nodes [3], [5]. Since it is hard to obtain the optimal solution of (7), we propose a suboptimal iterative approach as follows.

#### 3.1 Initialization

In (2), it is observed that the beamformed signal of  $R_m$ 's is destined for  $R_{m+1}$ 's. In order to initialize  $\widehat{\mathbf{w}}_m$  with m=1,2,...,M-1, we maximize the minimum channel gain of the next adjacent relays (i.e.,  $\widehat{\mathbf{w}}_m^{\dagger} \mathbf{R}_{m,m+1}^{(i)} \widehat{\mathbf{w}}_m$  in (7)) as well as null out the signal at E shown as

$$\widetilde{\mathbf{w}}_{m} = \operatorname{argmax}_{\widetilde{\mathbf{w}}_{m}} \min_{i=1,\dots,N_{m+1}} \left| \mathbf{h}_{m,m+1}^{(i)} (\mathbf{I}_{N_{m}} - \mathbf{P}_{m,E}) \widetilde{\mathbf{w}}_{m} \right|^{2}, \tag{8}$$

where  $\mathbf{I}_k$  denotes a  $k \times k$  identity matrix and  $\mathbf{P}_{m,E} = \mathbf{h}_{m,E}^{\dagger} (\mathbf{h}_{m,E} \mathbf{h}_{m,E}^{\dagger})^{-1} \mathbf{h}_{m,E}$  [3]. The optimization problem in (8) can be considered as a MMF beamforming problem in a wireless broadcasting scenario, which can be solved by using the semidefinite relaxation and randomization technique as in [11]. Using  $\check{\boldsymbol{w}}_m$  in (8), we compute  $\widehat{\boldsymbol{w}}_m$  shown as

$$\widehat{\mathbf{w}}_m = \frac{(\mathbf{I}_{N_m} - \mathbf{P}_{m,E}) \widecheck{\mathbf{w}}_m}{\|(\mathbf{I}_{N_m} - \mathbf{P}_{m,E}) \widecheck{\mathbf{w}}_m\|}.$$
(9)

We refer to (9) as a MMF-ZF beamformer. In order to initialize  $\hat{\mathbf{w}}_{M}$ , we use the conventional ZF beamformer [3] shown as

$$\widehat{\mathbf{w}}_{M} = \frac{(\mathbf{I}_{N_{M}} - \mathbf{P}_{M,E}) \mathbf{h}_{M,D}^{\dagger}}{\left\| (\mathbf{I}_{N_{M}} - \mathbf{P}_{M,E}) \mathbf{h}_{M,D}^{\dagger} \right\|},\tag{10}$$

Using the initial beamformers, we compute the initial secrecy rate as in (6).

#### 3.2 Iterative Update

After initializing the beamformers, we propose to update  $\widehat{\mathbf{w}}_m$  sequentially from m=M to 1 at each iteration using semidefinite relaxation and bisection techniques. The iteration continues until the secrecy rate cannot be enhanced.

Let us first update  $\mathbf{w}_M = \sqrt{P_M} \widehat{\mathbf{w}}_M$  using given  $\widehat{\mathbf{w}}_m$  with  $m \neq M$  computed at the previous iteration. Then, we can set  $\alpha_{m,E} = P_m \widehat{\mathbf{w}}_m^{\dagger} \mathbf{R}_{m,E} \widehat{\mathbf{w}}_m$  and  $\alpha_{m,m+1}^{(i)} = P_m \widehat{\mathbf{w}}_m^{\dagger} \mathbf{R}_{m,m+1}^{(i)} \widehat{\mathbf{w}}_m$ , and (7) can be rewritten as

$$\max_{\mathbf{w}_{M}} \frac{1 + \mathbf{w}_{M}^{\dagger} \mathbf{R}_{M,D} \mathbf{w}_{M}}{\beta_{E}^{(M)} + \mathbf{w}_{M}^{\dagger} \mathbf{R}_{M,E} \mathbf{w}_{M}}$$
s.t. 
$$\min_{i=1,\dots,N_{1}} \alpha_{S,1}^{(i)} \geq \mathbf{w}_{M}^{\dagger} \mathbf{R}_{M,D} \mathbf{w}_{M}$$

$$\min_{i=1,\dots,N_{m}} \alpha_{m,m+1}^{(i)} \geq \mathbf{w}_{M}^{\dagger} \mathbf{R}_{M,D} \mathbf{w}_{M}, \quad m = 1,2,\dots,M-1$$

$$\mathbf{w}_{M}^{\dagger} \mathbf{w}_{M} = P_{M}, \quad (11)$$

where  $\beta_E^{(M)} = 1 + \alpha_{S,E} + \sum_{m=1}^{M-1} \alpha_{m,E}$ . Note that (11) is equivalent to

$$\begin{aligned} \max_{\mathbf{W}_{M},t} & t, \\ \text{s.t.} & \operatorname{tr}\left(\mathbf{W}_{M}\left(\mathbf{R}_{M,D}-t\mathbf{R}_{M,E}\right)\right) \geq t\beta_{E}^{(M)}-1, \\ & \operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{1}}\alpha_{S,1}^{(i)}, \\ & \operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{m+1}}\alpha_{m,m+1}^{(i)}, m=1,2,\dots,M-1, \\ & \operatorname{tr}(\mathbf{W}_{M}) = P_{M}, \ \operatorname{rank}\mathbf{W}_{M} = 1, \ \mathbf{W}_{M} \geqslant 0, \end{aligned} \tag{12}$$

where  $\mathbf{W}_M = \mathbf{w}_M \mathbf{w}_M^{\dagger}$ , tr(.) denotes the trace operation, and  $\mathbf{W}_M \ge 0$  indicates that  $\mathbf{W}_M$  is a Hermitian positive semidefinite matrix [16]. Here, we use semidefinite relaxation and bisection technique studied in [12] to solve (12).

In (12), we employ a semidefinite relaxation to ignore the rank constraint [12], [13]. Then, we have

$$\max_{\mathbf{W}_{M},t} t,$$
s.t. 
$$\operatorname{tr}\left(\mathbf{W}_{M}(\mathbf{R}_{M,D} - t\mathbf{R}_{M,E})\right) \geq t\beta_{E}^{(M)} - 1,$$

$$\operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{1}} \alpha_{S,1}^{(i)},$$

$$\operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{m+1}} \alpha_{m,m+1}^{(i)}, m = 1,2,\dots,M-1,$$

$$\operatorname{tr}(\mathbf{W}_{M}) = P_{M}, \mathbf{W}_{M} \geq 0,$$
(13)

First, let us assume that  $t_{max}$  is the maximum value of t obtained by solving the optimization problem (13). For any given t, we aim to figure out whether we can obtain  $\mathbf{W}_M$  which satisfies the constraints in (13) or not. This can be revealed by solving the following convex feasibility problem:

find 
$$\mathbf{W}_{M}$$
,  
such that  $\operatorname{tr}\left(\mathbf{W}_{M}\left(\mathbf{R}_{M,D}-t\mathbf{R}_{M,E}\right)\right) \geq t\beta_{E}^{(M)}-1$ ,  
 $\operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{1}}\alpha_{S,1}^{(i)}$ ,  
 $\operatorname{tr}\left(\mathbf{W}_{M}\mathbf{R}_{M,D}\right) \leq \min_{i=1,\dots,N_{m+1}}\alpha_{m,m+1}^{(i)}$ ,  $m=1,2,\dots,M-1$ ,  
 $\operatorname{tr}(\mathbf{W}_{M}) = P_{M}$ ,  $\mathbf{W}_{M} \geq 0$ . (14)

If the above problem is feasible for the given t, then we have  $t_{max} \ge t$ . Otherwise, it is obvious that  $t_{max} < t$ . Therefore, we can check whether the given value t is greater than or less than the optimal solution  $t_{max}$  by solving the convenx feasibility problem in (14) [12]. Based on this

observation, we employ a bisection technique [16] and start with an interval [l, u], which is assumed to contain the optimal value  $t_{\text{max}}$ . At the midpoint of the interval t = (l+u)/2, we solve the convex feasibility problem in (14). Then, we update the interval according to the feasibility of (14). If the problem is feasible, then we set l = t. Otherwise, u = t is chosen. For the updated interval, we solve (14) again. The well-established interior-point-based package such as SeDuMi [17] and Yalmip [18] are used to solve the convex feasibility problem, which provides a feasibility certificate if the problem is feasible. We repeat the above process until the interval is small enough.

Note that the bisection technique requires the initial lower and upper values. In this work, we set the initial lower value as zero. For the initial upper value, it is reasonable to assume that the secrecy rate without the DF relaying constraints is larger than that with the DF relaying constraints. Therefore, we set the initial upper value as the maximal value of the objective function in (11) after ignoring the DF relaying constraints. Then, the problem in (11) becomes

$$\max_{\widehat{\mathbf{w}}_{M}} \frac{\widehat{\mathbf{w}}_{M}^{\dagger} \overline{\mathbf{R}}_{M,D} \widehat{\mathbf{w}}_{M}}{\widehat{\mathbf{w}}_{M}^{\dagger} \overline{\mathbf{R}}_{M,E} \widehat{\mathbf{w}}_{M}},$$

$$s.t. \quad \widehat{\mathbf{w}}_{M}^{\dagger} \widehat{\mathbf{w}}_{M} = 1, \tag{15}$$

where  $\overline{\mathbf{R}}_{M,D} = \mathbf{I}_{N_M} + P_M \mathbf{R}_{M,D}$  and  $\overline{\mathbf{R}}_{M,E} = \beta_E^{(M)} \mathbf{I}_{N_M} + P_M \mathbf{R}_{M,E}$ . The problem in (15) is a generalized eigenvector problem and  $\widehat{\mathbf{w}}_M$  can be determined as the eigenvector corresponding to the largest eigenvalue of the matrix  $\overline{\mathbf{R}}_{M,E}^{-1} \overline{\mathbf{R}}_{M,D}$ .

Furthermore, due to the semidefinite relaxation, the solution  $\mathbf{W}_{M}^{*}$  may not be of rank one in general. When  $\mathbf{W}_{M}^{*}$  is of rank one, its principal eigenvector is the solution of the original problem. For the case where  $\mathbf{W}_{M}^{*}$  is of rank higher than one, we employ a randomization technique [11]. In this work, let us eigendecompose  $\mathbf{W}_{M}^{*}$  as  $\mathbf{W}_{M}^{*} = \mathbf{U}\Lambda\mathbf{V}^{\dagger}$  and generate  $K_{cand}$  candidate weight vectors by  $\mathbf{w}_{k} = \mathbf{U}\Lambda^{1/2}\mathbf{v}_{k}$ , where each entry of  $\mathbf{v}_{k}$  is  $e^{j\theta}$  and  $\theta$  is independently and uniformly distributed between 0 and  $2\pi$  [11]. For each candidate weight vector, we check whether  $\mathbf{w}_{k}$  satisfies the given constraints in (11) or not (i.e.,  $\min_{i=1,\dots,N_{1}}\alpha_{S,1}^{(i)} \geq \mathbf{w}_{k}^{\dagger}\mathbf{R}_{M,D}\mathbf{w}_{k}$  and  $\min_{i=1,\dots,N_{m}}\alpha_{m,m+1}^{(i)} \geq \mathbf{w}_{k}^{\dagger}\mathbf{R}_{M,D}\mathbf{w}_{k}$  with  $m=1,2,\dots,M-1$ ). If  $\mathbf{w}_{k}$  satisfies the given constraints, we compute the corresponding secrecy rate using (6). Otherwise, we discard it. Among the candidate weight vectors satisfying the given constraints, we select  $\hat{\mathbf{w}}_{k}$  to provide the highest secrecy rate. If  $\hat{\mathbf{w}}_{k}$  provides higher secrecy rate than the cooperative beamformer determined at the previous iteration, we update  $\mathbf{w}_{M} = \hat{\mathbf{w}}_{k}$ .

Now, let us update  $\widehat{\mathbf{w}}_m$ . Note that  $\widehat{\mathbf{w}}_n$  with n > m is already updated at the current iteration, whereas  $\widehat{\mathbf{w}}_n$  with n < m is given at the previous iteration. Here, we can set  $\alpha_{M,D} = P_M \widehat{\mathbf{w}}_M^{\dagger} \mathbf{R}_{M,D} \widehat{\mathbf{w}}_M$ , and (7) can be simplified into

$$\min_{\widehat{\mathbf{w}}_{m}} \quad \widehat{\mathbf{w}}_{m}^{\dagger} \mathbf{R}_{m,E} \widehat{\mathbf{w}}_{m},$$

$$s. t. \quad \min_{i=1,\dots,N_{m+1}} P_{m} \widehat{\mathbf{w}}_{m}^{\dagger} \mathbf{R}_{m,m+1}^{(i)} \widehat{\mathbf{w}}_{m} \geq \alpha_{M,D},$$

$$\widehat{\mathbf{w}}_{m}^{\dagger} \widehat{\mathbf{w}}_{m} = 1.$$

$$(16)$$

The problem in (16) is equivalent to

$$\min_{\widehat{\mathbf{W}}_{m},t}$$
  $t$ 

s.t. 
$$\operatorname{tr}(\widehat{\mathbf{W}}_{m}\mathbf{R}_{m,E}) = t,$$
  
 $\min_{i=1,\dots,N_{m+1}} P_{m} \operatorname{tr}(\widehat{\mathbf{W}}_{m}\mathbf{R}_{m,m+1}^{(i)}) \geq \alpha_{M,D},$   
 $\operatorname{tr}(\widehat{\mathbf{W}}_{m}) = 1, \operatorname{rank} \widehat{\mathbf{W}}_{m} = 1, \widehat{\mathbf{W}}_{m} \geq 0,$  (17)

where  $\widehat{\mathbf{W}}_m = \widehat{\mathbf{w}}_m \widehat{\mathbf{w}}_m^{\dagger}$ . Here, we employ a semidefinite relaxation to drop the rank constraint in (17). Then, this problem can be solved by SeDuMi [17] and Yalmip [18]. Further, if the solution is of rank one, we can use its principal eigenvector as  $\widehat{\mathbf{w}}_m$ . If the rank is higher than one, we employ the randomization technique described above.

#### 4. Numerical Results

In this section, we present numerical results to investigate the secrecy rate performance of the proposed scheme. As shown in **Fig. 2**, we consider a (M+1)-hop relay network, where S,  $R_M$ 's, and D are located in a line [3], [5], where the S- $R_1$ ,  $R_m$ - $R_{m+1}$ , and  $R_M$ -D distances are defined as  $d_{S,1}$ ,  $d_{m,m+1}$ , and  $d_{M,D}$ , respectively. Further, E is assumed to be located vertically away from the line. The S-E and  $R_m$ -E distances are computed as

$$d_{S,E} = \sqrt{d_{Ex}^2 + d_E^2},$$

$$d_{m,E} = \sqrt{(d_{Ex} - d_{S,m})^2 + d_E^2},$$
(18)

respectively, where  $d_{S,m} = d_{S,1} + \sum_{l=1}^{m-1} d_{l,l+1}$ . As in [3] and [5], we assume a line-of-sight (LOS) channel model  $d^{-\frac{c}{2}}e^{j\theta}$  for channels between any two nodes, where d is the distance between the nodes,  $\theta$  denotes a random phase distributed uniformly within  $[0, 2\pi)$ , and c = 3.5 is the path loss exponent. Moreover, the distances between relays are assumed to be much smaller than the distances between relays and source/destination/eavesdropper. Therefore, the path losses between different relays and the other node are assumed to be almost the same. For simplicity, we set  $K_{cand} = 10,000$ ,  $N_1 = N_2 = ... = N_M = N$ ,  $\sigma^2 = -30$  dBm, and  $P_S = P_1 = ... = P_M = P_T/(M+1)$  with  $P_T = 30$  dBm, where  $P_T$  denotes the overall consumed power in multi-hop relay networks. As mentioned in Section 3.2, the proposed scheme performs the iterative beamformer update until the secrecy rates cannot be further enhanced. Note that, when the proposed scheme requires only one iteration, we cannot obtain the enhanced secrecy rate compared to the conventional scheme. From numerical results, we found that the proposed iteration always converges for all channel realizations.

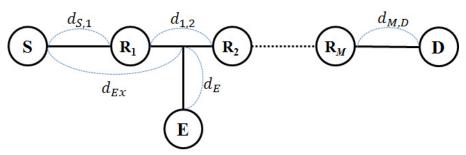
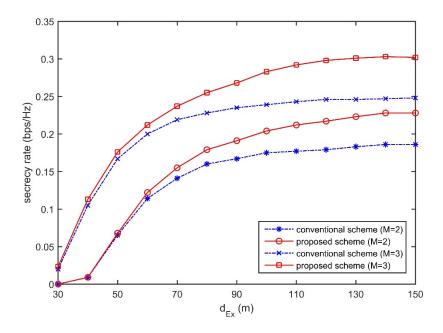
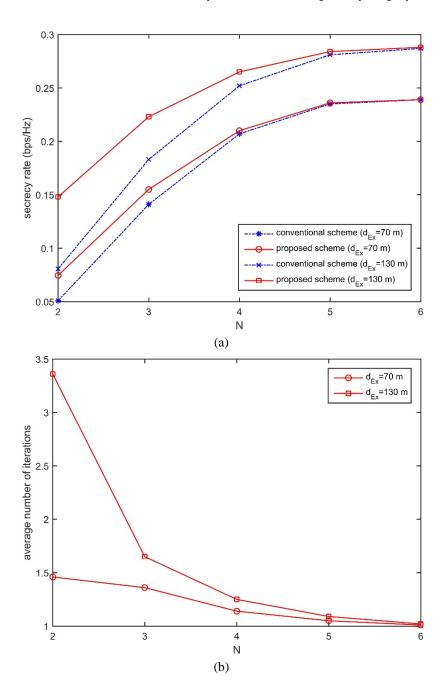


Fig. 2. Simulation Model



**Fig. 3.** Comparison of secrecy rates as a function of  $d_{Ex}$  for different values of M when N = 3 and  $d_E = 5$  m.

**Fig. 3** shows how the secrecy rate varies with  $d_{Ex}$  when N=3 and  $d_E=5$  m. For M=2, we set  $d_{S,1}=10$  m,  $d_{1,2}=45$  m, and  $d_{2,D}=45$  m, whereas  $d_{S,1}=10$  m,  $d_{1,2}=30$  m,  $d_{2,3}=30$  m, and  $d_{3,D}=30$  m are assumed for M=3. In the above setting, the distance between S and D is given as  $d_{S,D}=100$  m for both three-hop (M=2) and four-hop (M=3) cases. As expected, the secrecy rate increases with the increase of  $d_{Ex}$ . For both M=2 and S=3, it is observed that the proposed beamformer design scheme provides better secrecy rates than the conventional MMF-ZF scheme in particular for larger values of S=3. Considering that the proposed scheme is initialized by the conventional MMF-ZF scheme, we confirm that the proposed iterative update using semidefinite relaxation and bisection techniques is quite effective to improve the secrecy rates in multi-hop DF relay networks. Further, it is also noteworthy that the secrecy rates can be enhanced by increasing S=30 m, and S=3



**Fig. 4.** Performance comparison with varying *N* when M = 2,  $d_{S,1} = 10$  m,  $d_{1,2} = 45$  m,  $d_{2,D} = 45$  m, and  $d_E = 5$ m. (a) Secrecy rate comparison, and (b) average number of iterations

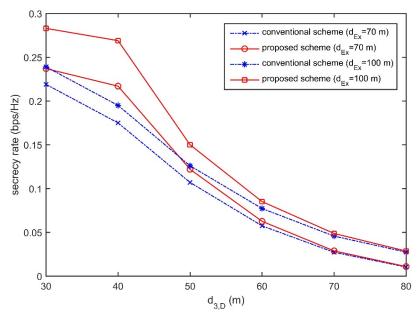


Fig. 5. Comparison of secrecy rates as a function of  $d_{3,D}$  when M = 3, N = 3,  $d_{S,1} = 10$  m,  $d_{1,2} = 30$  m,  $d_{2,3} = 30$  m, and  $d_E = 5$  m

In **Fig. 4(a)**, we present the secrecy rates of the conventional MMF-ZF and proposed schemes as a function of N when M = 2,  $d_{S,1} = 10$  m,  $d_{1,2} = 45$  m,  $d_{2,D} = 45$  m, and  $d_E = 5$  m. The larger value of N indicates that more relays join the cooperative beamforming at each hop. It is observed that the increase of N yields the improvement of the secrecy rate for both schemes. Further, the proposed scheme is found to provide better secrecy rates than the conventional scheme in particular for smaller values of N. For the larger value of  $d_{Ex}$ , it is also found that the performance gain of the proposed scheme over the conventional scheme is more remarkable. In **Fig. 4(b)**, we show the average number of iterations, which are required for the proposed scheme to obtain the secrecy rates presented in **Fig. 4(a)**. For both  $d_{Ex} = 70$  m and 130 m, the proposed scheme is found to require larger number of iterations for smaller values of N. Comparing the results in **Figs. 4(a)** and **(b)**, one can find that the performance gain of the proposed scheme becomes more remarkable as the required number of iterations increases.

**Fig. 5** compares the secrecy rates of the conventional and proposed schemes as a function of  $d_{3,D}$  when M = 3, N = 3,  $d_{5,1} = 10$  m,  $d_{1,2} = 30$  m,  $d_{2,3} = 30$  m, and  $d_E = 5$  m. As  $d_{3,D}$  increases, the secrecy rates of both the conventional and proposed schemes decrease. It is observed that the performance gain of the proposed scheme over the conventional scheme becomes marginal as  $d_{3,D}$  increases. However, in all ranges of  $d_{3,D}$ , it is also found that the proposed scheme outperforms the conventional scheme and the secrecy rate improvement achieved by the proposed scheme is more remarkable for larger values of  $d_{Ex}$ .

### 5. Conclusion

In this paper, we have investigated the secrecy rate in a multi-hop wireless DF relay network. The optimization problem for cooperative beamformer designs is derived to maximize the achievable secrecy rate. Since it is difficult to obtain the optimal solution in a close-form, we have proposed an iterative beamformer update scheme, where the cooperative beamformer at each hop is sequentially updated using semidefinite relaxation and bisection techniques.

Numerical results are presented to show that the proposed scheme provides enhanced secrecy rate compared to the conventional cooperative beamformers.

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